



## Louisiana State University System

3810 West Lakeshore Drive  
Baton Rouge, Louisiana 70808

Office of the President

225/578-2111  
fax 225/578-5524

May 12, 1993

SUBJECT: Outside Employment of University Employees -- PM-11

This memorandum rescinds PM-II dated October 4, 1991 and PM-66 dated March 22, 1988.

### I. INTRODUCTION

Louisiana State University recognizes that certain outside employment activities are of benefit to the University, to the State of Louisiana and to the private sector as well as to individual employees. Although the University recognizes a right of employees to engage in outside employment, it has established policies and procedures requiring that such outside employment be disclosed and submitted for administrative review and approval.

- A. All full-time employees of the University including faculty, other academic, unclassified and classified, are required to abide by this presidential memorandum at all times, including during regular and summer term and while on paid or unpaid leave.
- B. Outside employment is defined as any non-University activity for which economic benefit is received, including but not limited to:
  - 1. Employment with any non-University employer.
  - 2. Contracts to provide consulting, personal or professional services to non-University individuals or entities, including publishing agreements or arrangements.
  - 3. Self-employment or operation of a business.
- C. Economic benefits include cash payments or such other non-cash economic benefit, e.g., share of profits, shares of stock, equity participation, etc. as the employee and outside employer may agree; provided that such non-cash economic benefit shall not have a present value significantly in excess of fair compensation for the services rendered. Compensation rates for outside employment need not be related to University salary rates but should be negotiated fairly based on normal private sector levels for similar services.
- D. Outside employment shall be performed. Only outside of assigned working hours or responsibilities or during a period of paid or unpaid leave. During paid sabbatical, special or educational leave, outside activities may be permitted only in exceptional circumstances.

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E. Outside employment shall not conflict, delay or in any manner interfere with instructional, scholarly and/or other services which the employee is obligated to render to the University.

### II. OUTSIDE EMPLOYMENT WHICH WILL BE CONSIDERED FOR APPROVAL

The following types of outside employment will be considered for approval:

- A. General consulting, other outside employment or business activities.
- B. Serving as an expert witness in an area based upon the employee's training and experience.
- C. Consulting on a non-University research project. A University employee may be engaged as a consultant on a non-University research project or projects conducted by an outside employer, provided the employee neither supervises the research nor performs the research.

### III. OUTSIDE EMPLOYMENT WHICH WILL NOT BE APPROVED

- A. A University employee may not receive compensation to assist in the passage or defeat of legislation during the fiscal year in which the legislation is pending in the legislature, except from the Louisiana Legislature or any department, institute or agency within the legislative branch.
- B. If the University employee supervises non-University research or performs the research, he/she is considered a participant or a part of the outside research team rather than a consultant. In such instances, the employee may not be employed by nor contract directly with the outside agency unless it is not feasible or practical to seek a contract through the University under established procedures for sponsored research, as determined by the appropriate Chancellor.
- C. Blanket approvals for outside employment will not be granted.
- D. Employment or contractual relationships which are considered to be a violation of the Louisiana Code of Governmental Ethics will not be approved.

***\*As used herein, the term "assist" shall mean to act in such a way as to help, advise, furnish information to, or aid a person with the intent to assist such person.***

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### IV. EMPLOYEE RESPONSIBILITIES

Full-time employees contemplating outside employment or engaged in outside employment shall:

A. Disclose outside employment in accordance with PM-II, and procedures established therefore.

B. Submit a list of all contracts or other agreements between the University and the outside employer in which the employee is involved on behalf of LSU. Such list is to include, to the extent the information is known to the employee, the owners, directors, majority shareholders, or affiliates of the outside employer. Additional information about such contracts may be required by the University upon request.

C. Provide notification to the outside employer that he/she accepts such employment as an individual and not, in any manner as a representative of the University. It is recommended that employees do this by including with any oral testimony or written reports a statement to the effect that the views expressed are those of the employee and do not necessarily reflect the views of the University. In no case may the individual concerned use the name of the University or his/her University affiliation, title or address officially or in any other way in support of any position he/she may take. Biographical data, including a statement of employment by the Board of Supervisors of Louisiana State University and Agricultural and Mechanical College, may be included as introductory material to written reports or orally in the case of expert witness statements, but may not be incorporated into the body of the written report.

D. Comply with any other provisions of PM-11.

### V. APPROVAL LEVELS

Certain types of outside employment require approval of a Chancellor and/or the President as follows:

A. Approval by a Chancellor. Under the Louisiana Code of Governmental Ethics, certain outside employment requires review and approval by a Chancellor and may be approved only for academic, administrative and professional employees.

1. Outside employment which requires approval by a Chancellor includes:

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- a. Outside employment with an individual or entity currently doing or actively seeking to do business with the employee's unit at the University or under circumstances in which the employee is collaborating with, or on special assignment to, a unit within the University with which the entity is doing or is actively seeking to do business.
- b. Outside employment involving teaching which will result in university level credit for the student, which will be conducted on University time or which will utilize University property or services.
- c. Outside employment which ordinarily would be performed as part of the public service aspect of the University insofar as that employee's job duties and responsibilities are concerned.
- d. Outside employment yielding results which advance a theory or practice in the employee's field.
- e. Outside employment activity which could be accomplished more appropriately by a contract through the University. This activity shall be the subject of a University contract unless it is not feasible or practical to do so.
- f. Outside employment activity for an individual or entity that has substantial economic interests which may be materially affected by the way in which the employee performs his or her duties and responsibilities as a University employee.

2. If such proposed outside employment is with a third party that is contracting with or is seeking to contract with the University, the employee must remove himself or herself from any relationship in which he or she would:

- a. Approve payments by the University to the third party pursuant to any contract between the University and the third party.
- b. Evaluate any work performed by the University pursuant to a contract between the University and the third party.
- c. Negotiate and/or approve any subsequent contracts between the University and the third party.
- d. Approve the purchase of University equipment pursuant to the contract with the third party an amount in excess of \$2,000.00.

The above actions must be carried out by the employee's immediate supervisor and that approving supervisor's next immediate supervisor.

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3. Outside employment requiring the approval of a Chancellor also requires a written agreement between the employee and the outside entity which shall contain the following explicit information:

- a. General technical area of endeavor.
- b. Specific employment or consulting activities.
- c. Duration of employment agreement.
- d. Estimated time in hours per week or days per month required for the employment.
- e. Employee's compensation rate and method of payment.
- f. Statement that agreement is between employee and outside entity, that employee is not acting as an agent of the University and that the University bears no liability in the relationship.
- g. Statement that the use of the University name in connection with the employment activities shall be only upon written authorization of the University.
- h. Statement that the rights to any intellectual property, i.e., inventions, materials subject to copyright, etc. resulting from the employment activity, to the extent that they would vest in the employee in the absence of any other agreement, will be assigned to the University and disposed of in the manner prescribed by Chapter 7.2 and 7.3 of the By-Laws and Regulations of the LSU Board of Supervisors and such other University policy as may be applicable.

4. The outside entity and the employee shall negotiate and draft an agreement meeting all of the requirements herein. If the contract involves consulting with respect to research or technology, the appropriate University office primarily responsible for licensing and transfer of technology, e.g., Office of Research, Office of Technology Transfer, etc. should be consulted during the negotiating process. If the contract involves trade secrets and commercial or financial information obtained from the outside employer pertaining to research or to the commercialization of technology; such information may be removed from the agreement before its submission for administrative review.

5. For such agreements, a Chancellor must certify to the following prior to the execution of any contract approved pursuant to this policy:

- a. The outside employment activities are not within the employee's duties and responsibilities to the University for which the employee is being compensated by the University.

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- b. The outside employment activities do not conflict, delay or in any manner interfere with instructional, scholarly and/or other services which the employee is obligated to perform for the University.
- c. The consulting activities to be performed are within the academic or professional discipline of the employee or are related to the area of expertise in which the employee is employed by the University.

6. Following approval and execution, the appropriate Chancellor shall receive a copy of the executed agreement. Copies of approval forms, certifications and the executed agreement shall be kept in a permanent file by the Chancellor or his designee until at least three years beyond the expiration of the agreement.

B. Approval by the President. The following types of outside employment require review and approval by the President in addition to campus approval:

1. Outside employment involving public policy.
2. Outside employment of a Chancellor.
3. Outside employment or contracts by employees for professional, personal, consulting and social services with a department, commission, council, board, office, bureau, committee, institution, agency, government, corporation, or any other establishment of the Executive Branch of the State of Louisiana.

C. Approval by Chancellor or Designated Administrative Officer. All other outside employment may be approved through normal administrative channels by the Chancellor or by a campus administrative officer designated by the Chancellor.

D. Joint Appointments. If the outside employment involves employees from more than one department or campus, or if it involves an employee holding joint appointments, action by the appropriate administrative officers of the affected campuses is required.

## VI. USE OF UNIVERSITY EQUIPMENT, MATERIALS AND SERVICES

The appropriate campus administrators, including chairs, directors, deans and vice chancellors, are responsible for determining the circumstances under which University personnel, laboratories, services and equipment may be used in connection with outside employment of University employees. When University owned facilities, equipment or other resources are needed or required for any reason, a contract between the University and the private third party may be executed separately. Compensation to the University must be paid at the fair market rate or, if different, at the same rate that such services, facilities, equipment or technology would be available to any qualified non-University user.

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### VII. PROCEDURES

Each campus head shall develop such written procedures and approval forms as are necessary to provide for compliance with this policy and submit such campus procedures and approval forms to the President for review and approval.

### VIII. REPORTING REQUIREMENTS

Annually, the Chancellor shall prepare an information report listing all outside employment approved by the Chancellor and currently in force under this policy and any other details which may be requested. Copies of this report shall be sent to the President of the System and to the Board of Supervisors.

### IX. CODE OF ETHICS REQUIREMENT

Compliance with the provisions of this policy is required by the Louisiana Code of Governmental Ethics. Violation of this policy may result in a violation of the Ethics Code and penalties applicable thereto and/or appropriate sanctions by the University. All employees, both full-time and part-time, are reminded that they are subject to the Ethics Code.

Allen A. Copping  
President

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~~PM-11 FORM~~

Disclosure of Outside Employment  
LSU SYSTEM OFFICE

Louisiana State University Presidential Memorandum Number 11 requires that all full-time employees of the LSU System comply with its provisions and disclose all outside employment as defined within it. Please complete this form each time you receive income (except royalties) from outside employment. Blanket approval will not be granted. Employees are required to become familiar with PM-11 before completing this form.

EMPLOYEE DISCLOSURE	
Employee Name:	Name of employer or business:
Title:	Time commitment required:
Describe proposed activity below:	
1. My outside employment would be an entity currently doing or actively seeking to do business with my unit at the University. <input type="checkbox"/> Yes <input type="checkbox"/> No	4. I am collaborating with or on special assignment to a unit within the University with which the company is doing or is seeking to do business. <input type="checkbox"/> Yes <input type="checkbox"/> No
2. My outside employment would involve teaching, which results in university level credit, will be conducted on University time or will utilize University property or services. <input type="checkbox"/> Yes <input type="checkbox"/> No	5. My outside employment would yield results which advance a theory or practice in my field. <input type="checkbox"/> Yes <input type="checkbox"/> No
3. My outside employment would involve my providing professional, personal, consulting and social services to a department, commission, council, board, office, bureau, committee, institution, agency, government, corporation, or any other establishment of the Executive Branch of the State of Louisiana. <input type="checkbox"/> Yes <input type="checkbox"/> No	6. My outside employment would result in my receiving compensation to assist in the passage or defeat of state legislation during the fiscal year in which the legislation was pending in the legislature. <input type="checkbox"/> Yes <input type="checkbox"/> No
I will explain to the proposed outside employer that: (1) I do not represent said outside employer as an employee of the University in any manner, (2) any views I express on behalf of an outside employer do not necessarily reflect the view of the University, and (3) in no way may the name of the University nor my official University capacity be used in support of any position I make take on behalf of said outside employer. Furthermore, I certify that University personnel, laboratories and employment will not be used in connection with outside employment other than as provided in PM-11.	
My signature attests to my understanding of and compliance with PM-11.	
Name:	Title:
Signature:	Department:
Date:	

**ADMINISTRATIVE REVIEW**

Mark the number corresponding to any employee responses with which you disagree.

Vice President	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5	<input type="checkbox"/> 6
President	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5	<input type="checkbox"/> 6

Indicate your agreement or disagreement with the following statements:

- 7. The proposed duties ordinarily would be performed as part of the public services portion of the employee's duties and responsibilities.  Yes  No
- 8. The proposed activity more appropriately would be accomplished by a contract through the University.  Yes  No
- 9. The legal entity for which the outside employment is proposed has substantial economic interest which may be materially affected by the way in which the employee performs his or her duties and responsibilities as a University employee.  Yes  No
- 10. The outside employment involves public policy.  Yes  No

**ADMINISTRATIVE APPROVALS**

If the answer is YES to either question (3) or (10), the President's approval is required. If the answer is YES to any other question, the Executive Director's approval is required. If all responses are NO, then outside employment may be approved by the Executive Director.

<input type="checkbox"/> Recommended	Signature: _____
<input type="checkbox"/> Not Recommended	Vice President _____ Date _____
<input type="checkbox"/> Recommended	Signature: _____
<input type="checkbox"/> Not Recommended	President _____ Date _____

**OUTSIDE EMPLOYMENT INVOLVING PUBLIC POLICY OR A STATE AGENCY**

<input type="checkbox"/> Approved	Signature: _____
<input type="checkbox"/> Not Approved	President _____ Date _____

All reviewing administrators hereby certify that they have read and are familiar with the Louisiana Code of Governmental Ethics and that approval of this outside employment does not knowingly violate the Code of Ethics, PM-11 or any other rule or regulation of the University.

FOR USE BY VICE CHANCELLOR FILE NO. _____ FORM B approval necessary [ ] YES [ ] NO
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**PM-11 FORM A (For FY 20\_\_ - 20\_\_)**

**Disclosure of Outside Employment**

Louisiana State University & Agricultural & Mechanical College  
Baton Rouge, Louisiana

**AND Louisiana State University Agricultural Center**  
Baton Rouge, Louisiana

Louisiana State University Presidential Memorandum Number 11 requires that all full-time employees of the LSU System comply with its provisions and disclose all outside employment as defined within it. Completion of Form A is required for each outside employment event. Blanket approvals will not be granted. If the approval of the Chancellor or President is required, Form B must also be attached. Employees are required to become familiar with PM-11 before completing this form.

<b>EMPLOYEE DISCLOSURE</b>	
Employee Name:	Name of employer or business:
Department:	Time commitment required:
Describe proposed activity below:	
1. My outside employment would be with an entity currently doing or actively seeking to do business with my unit at the University. <input type="checkbox"/> Yes <input type="checkbox"/> No	4. I am collaborating with or on special assignment to a unit within the University with which the company is doing or is seeking to do business. <input type="checkbox"/> Yes <input type="checkbox"/> No
2. My outside employment would involve teaching which results in university level credit, will be conducted on University time or will utilize University property or services. <input type="checkbox"/> Yes <input type="checkbox"/> No	5. My outside employment would yield results which advance a theory or practice in my field. <input type="checkbox"/> Yes <input type="checkbox"/> No
3. My outside employment would involve my providing professional, personal, consulting and social services to a department, commission, council, board, office, bureau, committee, institution, agency, government, corporation, or any other establishment of the Executive Branch of the State of Louisiana. <input type="checkbox"/> Yes <input type="checkbox"/> No	6. My outside employment would result in my receiving compensation to assist in the passage or defeat of state legislation during the fiscal year in which the legislation was pending in the legislature. <input type="checkbox"/> Yes <input type="checkbox"/> No
I will explain to the proposed outside employer that: (1) I do not represent said outside employer as an employee of the University in any manner, (2) any views I express on behalf of an outside employer do not necessarily reflect the view of the University, and (3) in no way may the name of the University nor my official University capacity be used in support of any position I may take on behalf of said outside employer. Furthermore, I certify that University personnel, laboratories and equipment will not be used in connection with outside employment other than as provided in PM-11.	
My signature attests to my understanding of and compliance with PM-11.	
Name:	Title:
Signature:	Department:
Date:	

**NOTE: If #3 and/or #10 is YES, needs President's approval and Form B. If any answers #1-10 are YES, needs Chancellor's approval and Form B. If all answers #1-10 are NO, Vice Chancellor's approval is all that is needed and do not attach Form B.**  
PM11FORM.993

## ADMINISTRATIVE REVIEW

Circle the number corresponding to any employee responses with which you disagree.

Department Chair/Head/Institute Director	[1]	[2]	[3]	[4]	[5]	[6]
Dean/Executive Director	[1]	[2]	[3]	[4]	[5]	[6]
Vice Chancellor (ORED/Ag Center)	[1]	[2]	[3]	[4]	[5]	[6]

Indicate your agreement or disagreement with the following statements.

7. The proposed duties ordinarily would be performed as part of the public service portion of the employee's duties and responsibilities.	Department Chair/Head/Institute Director	[ ] Yes	[ ] No
	Dean/Executive Director	[ ] Yes	[ ] No
	Vice Chancellor (ORED/Ag Center)	[ ] Yes	[ ] No
8. The proposed activity more appropriately would be accomplished by a contract through the University.	Department Chair/Head/Institute Director	[ ] Yes	[ ] No
	Dean/Executive Director	[ ] Yes	[ ] No
	Vice Chancellor (ORED/Ag Center)	[ ] Yes	[ ] No
9. The legal entity for which the outside employment is proposed has substantial economic interest which may be materially affected by the way in which the employee performs his or her duties and responsibilities as a University employee.	Department Chair/Head/Institute Director	[ ] Yes	[ ] No
	Dean/Executive Director	[ ] Yes	[ ] No
	Vice Chancellor (ORED/Ag Center)	[ ] Yes	[ ] No
10. The outside employment involves public policy.	Department Chair/Head/Institute Director	[ ] Yes	[ ] No
	Dean/Executive Director	[ ] Yes	[ ] No
	Vice Chancellor (ORED/Ag Center)	[ ] Yes	[ ] No

## ADMINISTRATIVE APPROVALS

If the answer is YES to either question [3] or [10], the President's approval is required. If the answer is YES to any other question, the Chancellor's approval is required. If all responses are NO, then outside employment may be approved by the Vice Chancellor for Research and Economic Development (ORED)/Vice Chancellor & Director for Research (Ag Center).

[ ] RECOMMENDED [ ] NOT RECOMMENDED	Signature: _____
	Department Chair/Head/Institute Director _____ Date _____
[ ] RECOMMENDED [ ] NOT RECOMMENDED	Signature: _____
	Dean/Executive Director _____ Date _____

## ACTION BY VICE CHANCELLOR FOR RESEARCH AND ECONOMIC DEVELOPMENT

Louisiana State University & Agricultural & Mechanical College

[ ] APPROVED [ ] DISAPPROVED [ ] Forwarded thru Chancellor for action by President [ ] Returned to employee for compliance with PM-11 requirements requiring approval of Chancellor.	Signature: _____
	_____
	Vice Chancellor for Research & Economic Development _____ Date _____
	_____

## ACTION BY VICE CHANCELLOR & DIRECTOR FOR RESEARCH OR EXTENSION

Louisiana State University & Agricultural & Mechanical College

[ ] APPROVED [ ] DISAPPROVED [ ] Forwarded thru Chancellor for action by President [ ] Returned to employee for compliance with PM-11 requirements requiring approval of Chancellor.	Signature: _____
	_____
	Vice Chancellor & Director for Research or Extension _____ Date _____
	_____

All reviewing administrators hereby certify that they have read and are familiar with the Louisiana Code of Governmental Ethics and that approval of this outside employment does not knowingly violate the Code of Ethics, PM-11 or any other rule or regulation of the University.

**PM-11 FORM B**

**Disclosure of Outside Employment**

Louisiana State University & Agricultural & Mechanical College  
Baton Rouge, Louisiana

AND Louisiana State University Agricultural Center  
Baton Rouge, Louisiana

If outside employment requires approval by the Chancellor or the President, the employee must follow the certification and contractual provisions of PM-11 under the Approval Level section for outside employment. All required documents shall be attached to and made a part of this Disclosure Form before submission through administrative channels for review by the Chancellor. The following approvals must be obtained before engaging in the proposed outside employment.

<b>EMPLOYEE DISCLOSURE</b>	
Employee's Name: _____	
Proposed outside employer or business: _____	
Proposed compensation to be received: _____	Date: _____

<b>APPROVAL/CERTIFICATION BY CHANCELLOR</b>	
The outside employment activities are not within the course and scope of the employee's duties to the University for which the employee is being compensated by the University.	
The outside employment activities do not conflict, delay or in any manner interfere with instructional, scholarly and/or services which the employee is obligated to perform for the University.	
The consulting activities to be performed are within the academic or professional discipline of the employee or are related to the area of expertise in which the employee is employed by the University.	
Signature: _____	
Chancellor Louisiana State University & Agricultural & Mechanical College	Date _____
AND/OR	
Signature: _____	
Chancellor Louisiana State University Agricultural Center	Date _____

<b>OUTSIDE EMPLOYMENT INVOLVING PUBLIC POLICY OR A STATE AGENCY</b>	
<input type="checkbox"/> APPROVED	Signature: _____
<input type="checkbox"/> NOT APPROVED	President _____ Date _____

# PM-11 FORM A

## Disclosure of Outside Employment

Louisiana State University in Shreveport

Louisiana State University Presidential Memorandum Number 11 requires that all full-time employees of the LSU System comply with its provisions and disclose all outside employment as defined within it. Completion of Form A is required for each outside employment event; blanket approvals will not be granted. If the approval of the Chancellor or President is required, Form B must also be attached. Employees are required to become familiar with PM-11 before completing this form.

EMPLOYEE DISCLOSURE	
1. Employee Name:	3. Name of outside employer or business:
2. Department:	4. Time commitment required:
5. Describe proposed activity below. If no outside employment is contemplated or currently engaged write <b>NONE</b> in this section, then complete lines 7 through 11 and forward this form to your next supervisory level. If the answer is <b>YES</b> to any of the questions (6A-F or 13A-D) further detailed information will be required. For a <b>YES</b> response the Chancellor and/or the LSU President may be required to signify final action.	
6A. My outside employment would be with an entity currently doing or actively seeking to do business with my unit at the University. <input type="checkbox"/> Yes <input type="checkbox"/> No	6D. I am collaborating with or on special assignment to a unit within the University with which the company is doing or is seeking to do business. <input type="checkbox"/> Yes <input type="checkbox"/> No
6B. My outside employment would involve teaching, which results in university level credit, will be conducted on University time or will utilize University property or services. <input type="checkbox"/> Yes <input type="checkbox"/> No	6E. My outside employment would yield results which advance a theory or practice in my field. <input type="checkbox"/> Yes <input type="checkbox"/> No
6C. My outside employment would involve my providing professional, personal, consulting and social services to a department, commission, council, board, office, bureau, committee, institution, agency, government, corporation, or any other establishment of the Executive Branch of the State of Louisiana. <i>(President's Approval Required)</i> <input type="checkbox"/> Yes <input type="checkbox"/> No	6F. My outside employment would result in my receiving compensation to assist in the passage or defeat of state legislation during the fiscal year in which the legislation was pending in the legislature. <input type="checkbox"/> Yes <input type="checkbox"/> No
I will explain to the proposed outside employer that: (1) I do not represent said outside employer as an employee of the University in any manner, (2) any views I express on behalf of an outside employer do not necessarily reflect the view of the University, and (3) in no way may the name of the University nor my official University capacity be used in support of any position I may take on behalf of said outside employer. Furthermore, I certify that University personnel, laboratories and equipment will not be used in connection with outside employment other than as provide in PM-11.	
My signature attests to my understanding of and compliance with P.M.-11.	
7. Name:	8. Title:
9. Signature:	10. Department:
11. Date:	

## ADMINISTRATIVE REVIEW

Circle the number corresponding to any employee responses with which you disagree.

Department Chair	6:	[A]	[B]	[C]	[D]	[E]	[F]
Dean	6:	[A]	[B]	[C]	[D]	[E]	[F]
Vice Chancellor	6:	[A]	[B]	[C]	[D]	[E]	[F]

Indicate your agreement or disagreement with the following statements.

13A. The proposed duties ordinarily would be performed as part of the public service portion of the employees duties and responsibilities.	Department Chair	[ ] Yes	[ ] No
	Dean	[ ] Yes	[ ] No
	Vice chancellor	[ ] Yes	[ ] No
13B. The proposed activity more appropriately would be accomplished by a contract through the university.	Department Chair	[ ] Yes	[ ] No
	Dean	[ ] Yes	[ ] No
	Vice Chancellor	[ ] Yes	[ ] No
13C. The legal entity for which the outside employment is proposed has substantial economic interest which may be materially affected by the way in which the employee performs his or her duties and responsibilities as a University employee.	Department Chair	[ ] Yes	[ ] No
	Dean	[ ] Yes	[ ] No
	Vice Chancellor	[ ] Yes	[ ] No
13D. The outside employment involves public policy. <i>(President's Approval Required)</i>	Department Chair	[ ] Yes	[ ] No
	Dean	[ ] Yes	[ ] No
	Vice Chancellor	[ ] Yes	[ ] No

## ADMINISTRATIVE APPROVALS

If the answer is YES to either question (6C) or 13(D), the President's approval is required. If the answer is YES to any other question, the Chancellor's approval is required. If all responses are NO, the outside employment may be approved by the Provost.

[ ] RECOMMENDED [ ] NOT RECOMMENDED	Signature: _____
	Department Chair _____ Date _____
[ ] RECOMMENDED [ ] NOT RECOMMENDED	Signature: _____
	Dean _____ Date _____

## ACTION BY PROVOST AND VICE CHANCELLORS

[ ] APPROVED [ ] DISAPPROVED [ ] Forwarded thru Chancellor for action by President [ ] Returned to employee for compliance with P.M.-11 requirement requiring approval of Chancellor	Signature: _____
	Provost / Vice Chancellor _____ Date _____

All reviewing administrators hereby certify that they have read and are familiar with the Louisiana Code of Governmental Ethics and the approval of this outside employment does not knowingly violate the Code of Ethics, PM-11 or any other rule or regulation of the University.

# PM-11 FORM B

## Disclosure of Outside Employment Requiring Approval by the Chancellor or President

Louisiana State University in Shreveport

If outside employment requires approval by the Chancellor or the President, the employee must follow the certification and contractual provisions of PM-11 under the Approval Level section for outside employment. All required documents shall be attached to and made part of this Disclosure Form before submission through administrative channels for review by the chancellor. The following approvals must be obtained before engaging in the proposed outside employment. *Form must be typed.*

### EMPLOYEE DISCLOSURE

Employee's Name: \_\_\_\_\_

Proposed outside employer or business: \_\_\_\_\_

Amount of Proposed Compensation: \_\_\_\_\_ Date: \_\_\_\_\_

### APPROVAL/CERTIFICATION BY CHANCELLOR

The outside employment activities are not within the course and scope of the employee's duties to the University for which the employee is being compensated by the University.

The outside employment activities do not conflict, delay or in any manner interfere with instructional, scholarly and/or services which the employee is obligated to perform for the University.

The consulting activities to be performed are within the academic or professional discipline of the employee or are related to the areas of expertise in which the employee is employed by the University.

Signature: \_\_\_\_\_

Chancellor  
Louisiana State University in Shreveport

Date: \_\_\_\_\_

### OUTSIDE EMPLOYMENT INVOLVING PUBLIC POLICY OR A STATE AGENCY

APPROVED

NOT APPROVED

Signature: \_\_\_\_\_

President

Date: \_\_\_\_\_

# PM-11 FORM

## Disclosure of Outside Employment

PENNINGTON BIOMEDICAL RESEARCH CENTER

Louisiana State University Presidential Memorandum Number 11 requires that all full-time employees of the LSU System comply with its provisions and disclose all outside employment as defined within it. Please complete this form each time you receive income (except royalties) from outside employment. Blanket approval will not be granted. Employees are required to become familiar with PM-11 before completing this form.

EMPLOYEE DISCLOSURE	
Employee Name	
Employer / business:	
Title:	Time commitment required:
Describe the proposed activity below: Date: Location : Desc:	
1. My outside employment would be an entity currently doing or actively seeking to do business with my unit at the University. <span style="float: right;">Yes    No</span>	4. I am collaborating with or on special assignment to a unit within the University with which the company is doing or is seeking to do business. <span style="float: right;">Yes    No</span>
2. My outside employment would involve teaching, which results in university level credit, will be conducted on University time or will utilize University property or services. <span style="float: right;">Yes    No</span>	5. My outside employment would yield results which advance a theory or practice in my field. <span style="float: right;">Yes    No</span>
3. My outside employment would involve my providing professional, personal, consulting and social services to a department, commission, council, board, office, bureau, committee, institution, agency, government, corporation, or any other establishment of the Executive Branch of the State of Louisiana. <span style="float: right;">Yes    No</span>	6. My outside employment would result in my receiving compensation to assist in the passage or defeat of state legislation during the fiscal year in which the legislation was pending in the legislature. <span style="float: right;">Yes    No</span>
I will explain to the proposed outside employer that: (1) I do not represent said outside employer as an employee of the University in any manner, (2) any views I express on behalf of an outside employer do not necessarily reflect the view of the University, and (3) in no way may the name of the University nor my official University capacity be used in support of any position I may take on behalf of said outside employer. Furthermore, I certify that University personnel, laboratories and employment will not be used in connection with outside employment other than as provided in PM-11.	
My signature attests to my understanding of and compliance with PM-11.	
Name:	Title:
Signature:	Department:
Date: 10/12/2006	

ADMINISTRATIVE REVIEW						
Mark the number corresponding to any employee responses with which you disagree.						
Associate Executive Director (Administration, Clinical Research, or Basic Research)	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5	<input type="checkbox"/> 6
Executive Director	<input type="checkbox"/> 1	<input type="checkbox"/> 2	<input type="checkbox"/> 3	<input type="checkbox"/> 4	<input type="checkbox"/> 5	<input type="checkbox"/> 6

Indicate your agreement or disagreement with the following statements:	
7. The proposed duties ordinarily would be performed as part of the public services portion of the employee's duties and responsibilities.	<input type="checkbox"/> Yes <input type="checkbox"/> No
8. The proposed activity more appropriately would be accomplished by a contract through the University.	<input type="checkbox"/> Yes <input type="checkbox"/> No
9. The legal entity for which the outside employment is proposed has substantial economic interest which may be materially affected by the way in which the employee performs his or her duties and responsibilities as a University employee.	<input type="checkbox"/> Yes <input type="checkbox"/> No
10. The outside employment involves public policy.	<input type="checkbox"/> Yes <input type="checkbox"/> No

ADMINISTRATIVE APPROVALS	
If the answer is YES to either question (3) or (10), the President's approval is required. If the answer is YES to any other question, the Executive Director's approval is required. If all responses are NO, then outside employment may be approved by the Associate Executive Director of Administration, the Associate Executive Director of Clinical Research, or the Associate Executive Director of Basic Research.	
<input type="checkbox"/> Recommended <input type="checkbox"/> Not Recommended	Signature: _____ Associate Executive Director (Administration, Clinical Research, or Basic Research) _____ Date _____
<input type="checkbox"/> Recommended <input type="checkbox"/> Not Recommended	Signature: _____ Executive Director _____ Date _____

OUTSIDE EMPLOYMENT INVOLVING PUBLIC POLICY OR A STATE AGENCY	
<input type="checkbox"/> Approved <input type="checkbox"/> Not Approved	Signature: _____ President _____ Date _____

All reviewing administrators hereby certify that they have read and are familiar with the Louisiana Code of Governmental Ethics and that approval of this outside employment does not knowingly violate the Code of Ethics, PM-11 or any other rule or regulation of the University.